

11/30/00 1c971 U.S. PTO

12-04-00

Attorney Docket No.: 3COM-2962.WHD.US.P

3520 U.S. PTO  
09/12/98  
11/30/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Patent Application**

I hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail Postage and an Express Mail label, with the below serial number, addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.			
Express Mail Label No.:	EL648669507US	Name of Person Making the Deposit:	ANTHONY CHOU
Date of Deposit:	11/30/00	Signature of the Person Making the Deposit:	<i>Anthony Chou</i>

Inventor(s): E. MICHAEL LUNSFORD, STEVE PARKER, DAVID KAMMER, DAVID MOORE

Title: METHOD AND APPARATUS FOR EFFECTING A PERSONAL PROFILE  
DETECTION SYSTEM

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231  
Sir:

Transmittal of a Patent Application  
(Under 37 CFR §1.53)

Transmitted herewith is the above identified patent application, including:

- ☒ Specification, claims and abstract, totaling 27 pages.
- ☐ Formal drawings, totaling pages.
- ☒ Informal drawings, totaling 5 pages.
- ☒ Declaration and Power of Attorney.
- ☐ Information Disclosure statement.
- ☐ Form 1449
- ☒ Assignment(s)
- ☒ Assignment Recordation Form (duplicate)
- ☐ Preliminary Amendment

Other: .....

**FEES DUE**

The fees due for filing the specification pursuant to 37 C.F.R. § 1.16 and for recording of the Assignment, if any, are determined as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEES
Basic Application Fee					\$710.00
Total Claims	23	Minus 20=	3	X \$18 =	\$54.00
Independent Claims	3	Minus 3=	0	X \$80=	0.00
If multiple dependent claims are presented, add \$260.00					0.00
Add Assignment Recording Fee of \$40.00 If Assignment document is enclosed					\$40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$804.00</b>

## PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

1. Not enclosed
  - ☐ No filing fee is to be paid at this time.
2. Enclosed
  - ☒ Filing fee
  - ☒ Recording assignment
  - ☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached
  - ☐ For processing an application with specification in a non-English language
  - ☐ Processing and retention fee
  - ☐ Fee for international-type search report
  - ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.
  - ☒ A check in the amount of \$804.00
  - ☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

This application is filed pursuant to 37 C.F.R. § 1.53 in the name of the above-identified Inventor(s).


Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

- ☒ This transmittal ends with this page.

Respectfully submitted,

Date: 11/30/00

By:   
Rick G. Brewster  
Reg. No. 35,077

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 3COM-2962.WHD.US.P

CS20 U.S. PRO  
09/27/98  
11/30/00

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Assignment Branch, North Tower Building, Suite 10C35, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	11/30/00	Name of Person Making the Deposit:	ANTHONY CHOU	Signature of the Person Making the Deposit:	<i>Anthony Chou</i>
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The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) thereof:

## Transmittal of an Assignment

1. Name of conveying party(ies): E. MICHAEL LUNSFORD, STEVE PARKER, DAVID KAMMER

Serial No.:

Filed: 11/30/00

Group Art Unit:

Examiner:

2. Name and Address of Receiving party(ies) 3COM Corporation  
5400 Bayfront Plaza, Santa Clara, CA 95052-8145

3. Nature of Conveyance: Assignment  
Execution Date: 11/24/00, 11/28/00, 11/28/00

4. New Patent Application entitled: METHOD AND APPARATUS FOR EFFECTING A PERSONAL PROFILE DETECTION SYSTEM

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Address Wagner, Murabito & Hao LLP  
Two North Market Street, Third Floor, San Jose, CA 95113

Phone (408) 938-9060

6. Total Number of applications and patents involved: ONE

7. Fee Calculation (for other than a small entity)

Assignment Recordation Fee, per property

1 X \$40.00

**Total Fees** (37 CFR 3.41)

\$40.00

x The amount of \$ 40.00 is enclosed

8. At any time during the pendency of this application, please charge any additional fees required or credit any overpayments to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 11/30/00

*Rick G. Brewster*  
Name Rick G. Brewster  
Reg. No. 35,077